## ATTACHMENT C: CERTIFICATION OF FINANCIAL CONDITION AND LEGAL ACTION SUMMARY

The Applicant must complete and sign this Certification of Financial Condition and Legal Action Summary and include the required documents as indicated herein.

The undersigned hereby certifies that:

The Applicant has included the following documents with this completed CERTIFICATION OF FINACIAL CONDITION AND LEGAL ACTION SUMMARY.

1. Audited or reviewed financial statements (preferably audited) prepared by an independent Certified Public Accountant (CPA) for the two most recent fiscal years, including at a minimum balance sheet, income statement, and cash flow statement for each year. Must provide the contact information for the CPA/audit firm.
2. The current Month End Balance Sheet and Year-to-Date Income Statement at the time of proposal submission.
3. The most recent corporate taxfilingOR independent audit report. If submitting the

independent audit report must include contact information for the audit firm.

The Applicant is in sound financial condition and, if applicable, has received an unqualified audit opinion for the latest audit of its financial statements.

The Applicant has included a brief statement outlining and describing its financial stability.

The Applicant has no outstanding liabilities, including tax and judgment liens, to the Internal Revenue Service or any other government entity.

The Applicant is current in all amounts due for payments of federal and state taxes and required employment-related contributions and withholdings.

The Applicant is not the subject of any current litigation or findings of noncompliance under federal or state law.

The Applicant has not been the subject of any past or current litigation, findings in any past litigation, or findings of noncompliance under federal or state law that may impact in any way its ability to fulfill the requirements of this Contract.

The Applicant acknowledges that this is a continuing certification, and the Applicant shall notify the Department within fifteen (15) calendar days of any material change to any of the representations made herein.

**If any one or more of the foregoing boxes is NOT checked, the Applicant must explain the reason in the space below:**

**Applicants are encouraged to explain any negative financial information in its financial statement below and are encouraged to provide documentation supporting those explanations:**

**By completing this Certification of Financial Condition and Legal Action Summary, the Applicant affirms the ability to financially support implementation and on-going costs associated with PACE service area expansion if its application is selected for recommendation, and the individual signing certifies he or she is authorized to make the foregoing statements on behalf of the Applicant.**

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Signature Date

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Printed Name Title